

STANDARD DECLARATION OF INTERESTS (DOI) FORM

Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto, for example, SAPEA Working Groups.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.

If you do not describe relevant interests and your DoI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.

First name: **KAREN**

Family name: **YEUNG**

Date: 1.10.23

Description of the Activity: Successful and timely uptake of Artificial Intelligence in science in the
EU

1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

	Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?	yes	no
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<i>1a</i>	<i>Employment</i>	<input checked="" type="checkbox"/>	
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>1c</i>	<i>Non-remunerated post</i>	<input checked="" type="checkbox"/>	
<i>1d</i>	<i>Legal representation</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Activity</i>	<i>time period (from... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>
Interdisciplinary Professorial Fellow in Law, Ethics & Informatics	2018-now	School of Law and School of Computer Science, University of Birmingham,	Research and teaching
Honorary Professorial Fellow / Distinguished Visiting Fellow	2016-2026	Melbourne Law School, The University of Melbourne	

2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

	Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?	Yes	No
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<i>2a</i>	<i>Participation in a decision-making process</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>2b</i>	<i>Participation in the work of a Scientific Advisory Body</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

Ethics standard-setting and policy recommendations on AI	June 2018-June 2020	EU High Level Expert Group on AI	Participation as expert and member of group convened to draft ethics guidelines for AI and policy recommendations for the European Commission
Expert discussion with input from member state representatives, research and policy-making	MSI-AUT Committee of experts on Human Rights Dimensions of automated data processing and different forms of artificial intelligence	March 2018-Sept 2019	Participation in policy-discussions as an independent expert appointed by the Council of Europe and formulation of position statement and research report as rapporteur
Editorial and refereeing contributions	Data & Policy Journal of Cross-Disciplinary Research in Computational Law Technology and Regulation Big Data & Society, Springer Public Law	2018 - now	Member of the editorial Boards of Peer-Reviewed Academic Journals

3 RESEARCH SUPPORT

Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?	yes	no
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3a	Research support, including grants, rents, sponsorships, fellowships, non-monetary support	x	<input type="checkbox"/>
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<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Research Grant funding recipient as joint Principal-Investigator	March 2019-December 2023	VW Stiftung	Grant funding to support project 'Deciding with, but and about algorithmic decision-making systems'
Research Grant funding recipient as joint Co-Principal Investigator	September 2022-August 2025	European Union, via Horizon Europe 'European Lighthouse on Safe and Secure AI', Network of Excellence:	Grant funding to support in foundational safe and secure artificial intelligence (AI) methodology research
Co-I to support Dr Gavin Sullivan's successful award as PI	2021-2028	UKRI	Infra-legalities: Global Security Infrastructures, Artificial Intelligence and International Law.
Partner Investigator and Co-PI	2021-2028	Australian Research Council (ARC)	To investigate responsible, ethical, and inclusive automated decision making
Principal Investigator	2018-2021	AHRC, in collaboration with the BBC	Using AI to deliver personalised media recommendations responsibly

4 FINANCIAL INTERESTS

	Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?	yes	no
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<i>4a</i>	<i>Shares</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>4b</i>	<i>Other stock</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Investment</i>	<i>Name of legal entity</i>	<i>Description</i>

5 INTELLECTUAL PROPERTY

	Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?	yes	no
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>5b</i>	<i>Others</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Intellectual property</i>	<i>Description</i>

6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part</i>	yes	no
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	<i>of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>		
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6a	For a legal entity or other body as part of a regulatory, legislative or judicial process	<input type="checkbox"/>	<input type="checkbox"/>
6b	Represented interests or defended an opinion	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Activity	Time period (from... until month/year)	Name of legal entity or body	Description
Dissemination of research findings and professional opinion, such as advice to government and international organisation (e.g. UK government, OSCE, BEUC, European Institutions) and media attention (e.g. BBC, Channel 4, GFC Films)	On going throughout the previous 5 years	Prof Karen Yeung	I have given many public addresses, lectures and talks during which I offer my own professional academic standpoint on matters related to the governance of AI. These views are reflected in my published work and are largely available on an open access basis

7 INTERESTS OF IMMEDIATE FAMILY MEMBERS **yes** **no**

7a	To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

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7b	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DoI and to provide them with the privacy statement attached to the guidance for filling in this DoI, and this at the latest when you file the DoI form with the Commission.</i>
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8 OTHER RELEVANT INFORMATION **yes** **no**

8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DoI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance with the applicable data protection legislation.

I have been informed that this form may be shared with the European Commission and will be publicly available once the SAPEA report is published.

Date: ___5/10/2023___

Signature: _

