

## STANDARD DECLARATION OF INTERESTS (DOI) FORM

### Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto, for example, SAPEA Working Groups.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

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**Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.**

**If you do not describe relevant interests and your DoI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.**

First name: Aarti

Family name: Gupta

Date: December 23, 2023

Description of the Activity: SAPEA Evidence Review Report on Solar Radiation Modification

## 1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

<b>Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?</b>	<u>yes</u>	<b>no</b>
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<i>1a</i>	<i>Employment</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>1c</i>	<i>Non-remunerated post</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>1d</i>	<i>Legal representation</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Activity	time period (from... until month/year)	name of entity or body	description
<b>Professor of Global Environmental Governance</b>	<b>2020-Present</b>	<b>WAGENINGEN UNIVERSITY AND RESEARCH</b>	
<b>Associate Professor</b>	<b>2013-2020</b>	<b>WAGENINGEN UNIVERSITY AND RESEARCH</b>	

## 2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

<b>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?</b>	<u>yes</u>	<b>no</b>
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<i>2a</i>	<i>Participation in a decision-making process</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>2b</i>	<i>Participation in the work of a Scientific Advisory Body</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Activity	Time period (from... until month/year)	Name of legal entity or body	Description
<b>Co-chair, Scientific Steering Committee</b>	<b>2022-2023</b>	<b>International Earth System Governance Research Alliance</b>	

<b>Invited expert member Working Group 41 on Ocean Interventions for Climate Change Mitigation)</b>	<b>2020-Present</b>	<b>United Nations Joint Group of Experts on the Scientific Aspects of Marine Environmental Protection (GESAMP)</b>	
<b>Series co-editor</b>	<b>2018-Present</b>	<b>Cambridge Elements in Earth System Governance</b>	
<b>Editorial Board Member</b>	<b>2018-Present</b>	<b>Earth System Governance Journal</b>	
<b>Coordinator, Governing Climate Futures Research theme</b>	<b>2016-Present</b>	<b>WAGENINGEN UNIVERSITY AND RESEARCH</b>	
<b>Editorial Board Member</b>	<b>2015-Present</b>	<b>International Environmental Agreements</b>	
<b>Coordinator, Incubator Project on Accountability and Contested Knowledge</b>	<b>2017-2018</b>	<b>WAGENINGEN UNIVERSITY AND RESEARCH</b>	
<b>Associate Editor</b>	<b>2012-2021</b>	<b>Global Environmental Politics</b>	
<b>Invited Member,15-member Academic Working Group on International Governance of Climate Engineering</b>	<b>2016-2019</b>	<b>American University's Forum for Climate Engineering Assessment</b>	
<b>Coordinating Lead Author, New Directions Initiative</b>	<b>2016-2018</b>	<b>Earth System Governance Research Alliance</b>	

### 3 RESEARCH SUPPORT

	<b>Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?</b>	<b><u>yes</u></b>	<b>no</b>
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3a	Research support, including grants, rents, sponsorships, fellowships, non-monetary support	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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Activity	Time period (from... until month/year)	Name of legal entity or body	Description
Assessing the Transformative Potential of Transparency in Global Climate Governance (TRANSGOV)	2020-2025	Netherlands Research Organization (NWO), Open Competition Grant	
Re-imagining Anticipatory Climate Governance in the World's Vulnerable Regions (REIMAGINE)	2017-2023	BNP Paribas Foundation's Climate Initiative	
Accountability and (Contested) Knowledge	2017-2019	Wageningen Center for Sustainability Governance, Research Collaboration Incubator Fund	

#### 4 FINANCIAL INTERESTS

	Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?	yes	<u>no</u>
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4a	Shares	<input type="checkbox"/>	<input type="checkbox"/>
4b	Other stock	<input type="checkbox"/>	<input type="checkbox"/>

Investment	Name of legal entity	Description

## 5 INTELLECTUAL PROPERTY

	<b>Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?</b>	<b>yes</b>	<b><u>no</u></b>
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5a	Patent, trademarks, or copyrights	<input type="checkbox"/>	<input type="checkbox"/>
5b	Others	<input type="checkbox"/>	<input type="checkbox"/>

Intellectual property	Description

## 6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>	<b><u>yes</u></b>	<b>no</b>
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6a	<b>For a legal entity or other body as part of a regulatory, legislative or judicial process</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6b	<b>Represented interests or defended an opinion</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Activity	Time period (from... until month/year)	Name of legal entity or body	Description
<b>I am an initiator and signatory of an academic Open Letter calling for a Non-Use agreement on solar geoengineering.</b>	<b>2022-Present</b>	<b>N/A. This is not a legal entity or body.</b>  <b>The Open Letter is an initiative of a group of</b>	<b>This academic Open Letter has now (as of December 2023) been signed by</b>

		<p>academics, signed in their personal capacity.</p> <p>I am thus not speaking on behalf of anyone but myself, in my own individual capacity as a social scientist.</p> <p>As part of this initiative, I have publicly voiced my views on potential novel risks posed by solar geoengineering in various research-related venues (conferences, invited talks) and in media interviews.</p> <p>Note: This Open letter is one of three academic initiatives mentioned in the EU scoping paper on SRM, as relevant context for the SAPEA study.</p>	<p>more than 450 academics worldwide (social scientists, climate modeler and natural scientists, climate governance scholars) concerned about the potential novel risks posed by potential future deployment and use of solar geoengineering.</p> <p>It thus calls for political oversight by governments, the United Nations and other concerned actors.</p>
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**7 INTERESTS OF IMMEDIATE FAMILY MEMBERS** yes no

<b>7a</b>	To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

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<b>7b</b>	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DoI and to provide them with the privacy statement attached to the guidance for filling in this DoI, and this at the latest when you file the DoI form with the Commission.</i>
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**8 OTHER RELEVANT INFORMATION** **yes** **no**

<b>8a</b>	<b>Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DoI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance with the applicable data protection legislation.

I have been informed that this form may be shared with the European Commission and will be publicly available once the SAPEA report is published.

Date: December 23, 2023

Signature:

